



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group art unit: 1734
Examiner: to be assigned

In Re PATENT APPLICATION of:

Applicant(s): Kenjiro HIGAKI et al.

Serial No.: 10/589,747

Filing date: August 17, 2006

For: COLLECTIVE SUBSTRATE,
SEMICONDUCTOR ELEMENT
MOUNT, SEMICONDUCTOR DEVICE,
IMAGING DEVICE, LIGHT EMITTING
DIODE COMPONENT AND LIGHT
EMITTING DIODE

Atty. ref.: AI 419

**INFORMATION
DISCLOSURE
STATEMENT AND
CERTIFICATION**

August 10, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted in compliance with the timing requirements of 37 C.F.R. § 1.97(b)(3), i.e. prior to a first Office Action on the merits.

Attached are copies of three Japanese patent documents with their English-language abstracts and translations, from which any relevance of the documents can be gleaned. The references were first cited on July 17, 2007, in a foreign Office Action, issued in connection with the corresponding Japanese patent application No. 2006-531379. The documents are listed on the attached form PTO-1449.

It is brought to the Examiner's attention that the Japanese patent documents No. 11-238830 and 7-235621, which were also cited in the aforementioned Office Action, are not being submitted with this IDS, since the documents were already previously submitted with the IDS filed in the U.S. Patent and Trademark Office on August 17, 2006, with the filing of the above-identified application.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Since this Information Disclosure Statement is being filed before a first Office Action on the merits, no fee is required, and the requirements of 37 C.F.R. §§ 1.97 and 1.98 are deemed to be fully met as to all listed documents. Consideration of the listed document is respectfully requested.

Should any fee be required, however, the Director is hereby authorized to charge the fee to our Deposit Account No. 18-0002, and is requested to advise us accordingly.

Respectfully submitted,

August 10, 2007
Date

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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT | | | | Attorney reference: | | Application serial No.: | |
| | | | | AI 419 | | 10/589,747 | |
| | | | | Applicant(s): Kenjiro HIGAKI et al. | | | |
| | | | | Filing date: | | Group art unit: | |
| | | | | August 17, 2006 | | 1734 | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| Examiner Initial | | Document Number | Date | Country | Class | Sub- Class | Translation |
| | AA | 2006-49551 | 02/16/2006 | JAPAN | | | Abstract and translation |
| | AB | 2002-16341 | 01/18/2002 | JAPAN | | | Abstract and translation |
| | AC | 2003-282795 | 10/03/2003 | JAPAN | | | Abstract and translation |
| Examiner: /Tsz Chiu/ (03/01/2009) | | | | | Date Considered: | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | | | | | |